

Jan. 8. 2004 3:21PM FRISHAUF & PARTNERS  
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Date: January 8, 2004

TO: Ex. Sean Vincent  
FROM: Herbert Goodman  
Reg.No. 17,081  
YOUR REF: S/N 09/992,961  
OUR REF: 01708/HG

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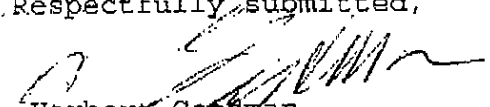
NUMBER OF PAGES 4  
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Attached are photocopies of:

- 1) RESPONSE TO RESTRICTION REQUIREMENT; and
- 2) Facsimile transmission Report evidencing that  
the above was sent by facsimile on July 10, 2003  
to 1-703-305-7115.

Please deliver the attached to Ex. S. Vincent as soon as possible.

Respectfully submitted,

  
Herbert Goodman  
Reg.No. 17,081

HG/pob

Transmission Result Report Jul. 10. 2003 2:44pm \*\*\*

T T I FRISHAUF & PARTNERS

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 4) No or unreliable connection

Attorney Docket No. 01708/HG

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): Takeo WATANABE et al

Serial No. : 09/992,961

Filed : November 5, 2001

For : METHOD OF MANUFACTURING  
GLASS SUBSTRATE FOR  
INFORMATION RECORDING  
MEDIA,....

Art Unit : 1731

Examiner : Michael COLAIANNI

**RESPONSE TO RESTRICTION REQUIREMENT**

Commissioner for Patents  
P.O. Box 1450

**CERTIFICATE OF FACSIMILE  
TRANSMISSION**

TO NO. 1-703-305-7115  
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Attorney: HERBERT GOODMAN

Dated: July 10, 2003

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**RESPONSE TO RESTRICTION REQUIREMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

This is a response to the Office Action of June 24, 2003  
which is a restriction requirement.

Restriction under 35 USC 121 was required between:

- "I. Claims 1-15, 22-29, drawn to a method of  
manufacturing a glass substrate, classified  
in class 65, subclass 111.
- II. Claims 16-21, 30-35, drawn to a glass  
substrate and information recording medium,  
classified in class 428, subclass 432."

The Group I claims are provisionally elected with traverse.

The basis of the traverse follows:

The middle paragraph on page 2 of the Office Action states:

"...or (2) that **the product as claimed can be made by another and materially different process** (MPEP § 806.05(f)). In the instant case, the product can be made by another and materially different process, such as an edge grinding operation." (Bold print added).

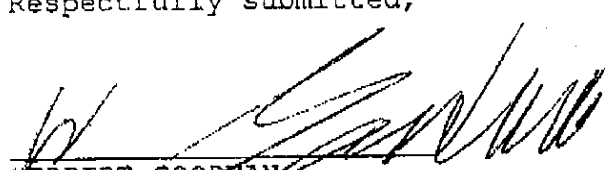
The first product claim, claim 16 follows:

"16. A glass substrate for information recording media **prepared using the method claimed in claim 1.**" (Bold print added).

The above establishes that **the product as claimed** (which includes the requirement that it be prepared using the method claimed in claim 1), cannot be made by another and materially different process.

Reconsideration and withdrawal of the restriction requirement is solicited.

Respectfully submitted,



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Reg. No. 17,081

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